

REA Date Stamp

**USUHS FORM 3206A**  
**ANIMAL STUDY PROTOCOL**  
**(annual review)**

**Animal Protocol Number:** \_\_\_\_\_ **Funding Source:** \_\_\_\_\_

**Grant Number:** \_\_\_\_\_

**Principal Investigator:** \_\_\_\_\_

**Department:** \_\_\_\_\_

**Animal Protocol Title** \_\_\_\_\_

(If animal species not identified in title, list parenthetically after the title, i.e., (rat) )

**Grant Title (if different from above):** \_\_\_\_\_

**Protocol Status** Please check as applicable:

\_\_\_\_\_ This protocol has now been completed and/or is inactive.

\_\_\_\_\_ This protocol will soon expire and is in the process of being rewritten for renewal.

The anticipated date for submission of a new protocol for IACUC review is:

\_\_\_\_\_

\_\_\_\_\_ This protocol is active and work is ongoing.

**Changes in personnel:** (Please list names of any new individuals working on this protocol that have not been submitted and approved through a modification to the protocol. Describe the qualifications and training for each individual.)

Based on your protocol, list the number of animals approved for use and the number used in each Pain Category during the Last twelve months. For animal numbers in categories C, D, and E below, include only those animals on which experiments are completed and do not include animals on which experimental procedures are currently ongoing.

**#Approved #Used**

\_\_\_\_\_ number of animals upon which testing, research, experiments, or tests were conducted involving no pain, distress, or use of pain-relieving drugs (Category C)

\_\_\_\_\_ number of animals upon which experiments, teaching, research, surgery, or tests were conducted involving accompanying pain or distress to the animals and for which appropriate anesthetic, analgesic, or tranquilizing drugs were used (Category D)

\_\_\_\_\_ number of animals upon which experiments, teaching, research, surgery, or tests were conducted involving accompanying pain or distress to the animals and for which appropriate anesthetic, analgesic, or tranquilizing drugs would have adversely affected the research, testing, surgery, or experiment (Category E)

\_\_\_\_\_ number of animals being bred, conditioned, or held for use in teaching, testing, experiments,  
\_\_\_\_\_ research, or surgery, but not yet used for such purposes

Explain higher or lower morbidity and/or mortality than anticipated, and the results, conclusions, advancements, and/or benefits made via their use.

Have any new developments occurred in this study or in the literature, which might influence an increase or decrease in the number and the use of animals?

\_\_\_\_\_ Yes      \_\_\_\_\_ No

In your judgment, is the current level of pain and discomfort about the same as when the study was first approved?

\_\_\_\_\_ Yes      \_\_\_\_\_ No (Please explain)

Are the current animal use procedures essentially the same as described in the protocol when it was last approved or had a modification approved?

\_\_\_\_\_ Yes      \_\_\_\_\_ No (Please explain)

\_\_\_\_\_  
Principal Investigator's Signature

\_\_\_\_\_  
Date

\_\_\_\_\_  
Department Chairperson's Signature

\_\_\_\_\_  
Date